

Docket No. 206644US0

NOV 13 2001  
OIP  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shigeyuki HAKETA, et al.

SERIAL NO: 09/845,271

GAU: 1771

FILED: May 1, 2001

EXAMINER:

FOR: POLYVINYL ALCOHOL POLYMER FILM, METHOD OF PRODUCING THE SAME AND POLARIZATION FILM

## INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

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SIR:

Applicant(s) wish to disclose the following information.

NOV 15 2001

TC 1700

### REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shigeyuki HARITA, et al.

SERIAL NO.: NEW APPLICATION

FILED: HERewith

FOR: POLYVINYL ALCOHOL POLYMER FILM, METHOD OF  
PRODUCING THE SAME AND POLARIZATION FILM

**STATEMENT OF RELEVANCY**

**Reference AO(6-138319) on form PTO-1449:**

This reference is mentioned on page two of specification.



09/842,804

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
206646US0	09/842,804	04/27/01	PENDING
206644US0*	09/845,271	05/01/01	PENDING

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**TC 1700**

\*Present application; listed for information.